UT Southwestern Medical Center CTSA Program

Export Control & International Collaborations

Office of Research Support & Regulatory Management

CTSA Program

Export Control (EC) refers to the regulatory responsibility to ensure that items, technology, software, IP, and collaborations meet relevant export control requirements.

• If an international component is present, there is an export control consideration. The EC Office performs risk assessments for international shipments, travel, research funding, the Export Control portion of visa applications, vendors, and international collaborations.

• Export Control regulations are complex. These regulations are set by three primary federal agencies with the ability to lobby heavy fines and restrict future exports. These agencies classify goods, identify restricted parties and destinations, and apply sanctions to support international trade compliance. Most research at UTSW is considered "low risk," and can quickly be assessed and cleared, but when Export Control concerns are present, the primary objective is complying with the regulations.

• Export Control is universally applied. Every activity, research project, award document, and publication with an international component, is subject to Export Control risk assessment. Therefore, proactively reaching out to Export Control with requests for assessment of any planned international components will help ensure that you are informed and any Export Control concern can be addressed.

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The Export Control Office, part of the Office of Research Support and Regulatory Management, ensures UTSW's ongoing compliance with United States Government export control regulations.

• The EC Office is a team of four! Please reach out to the Export Control (EC) Office at any time with any questions about international travel, shipping, visa questionnaires (Export Control Questionnaire), vendors, or international collaborations. Someone will get back to you by the end of the next business day.

• The EC Office can be reached by email or phone. We maintain a shared inbox (export@utsouthwestern.edu)

that is the preferred method of communication. We also have a main phone line (ext. 8-5398) to speak to someone live or leave a message.

• More information about the EC Office and Export Control can be found at:

- EC Intranet (VPN required): https://www.utsouthwestern.net/intranet/research/export-control/
- EC-related Policies at UTSW (VPN required) : <u>https://www.utsouthwestern.net/intranet/research/export-control/policies-and-tip-sheets.html</u>
- EC International Collaborations Training, available in Taleo
- EC Topic in the Institutional Compliance Training Bundle
- EC Topic in the Department Administrator Training
- The EC Office also provides training to departments, faculty, and staff upon request!

