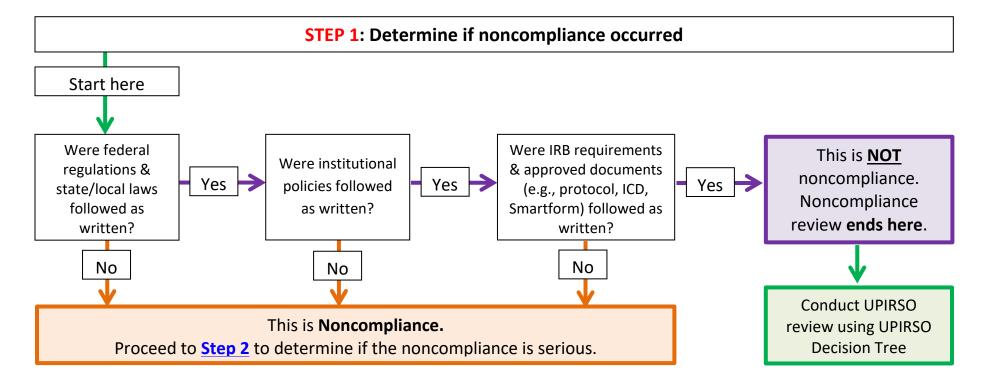
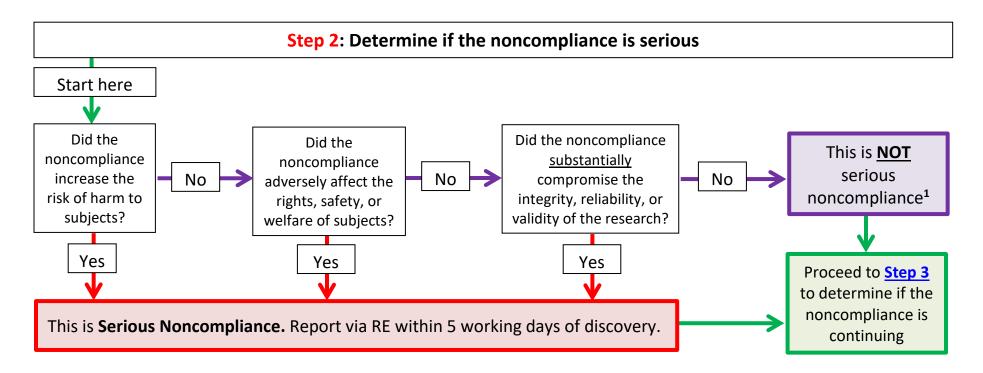
Use the following decision tree(s) to determine if an event constitutes noncompliance, serious noncompliance, and/or continuing noncompliance, and report according to <a href="https://www.utwa.com/utwa

Serious noncompliance and/or continuing noncompliance is promptly reported to the UTSW IRB/HRPP via RE within 5 working days of discovery. Noncompliance that is <u>neither</u> serious <u>nor</u> continuing should be tracked and summarized in the progress report at the next continuing review or notice of study closure, whichever comes first.



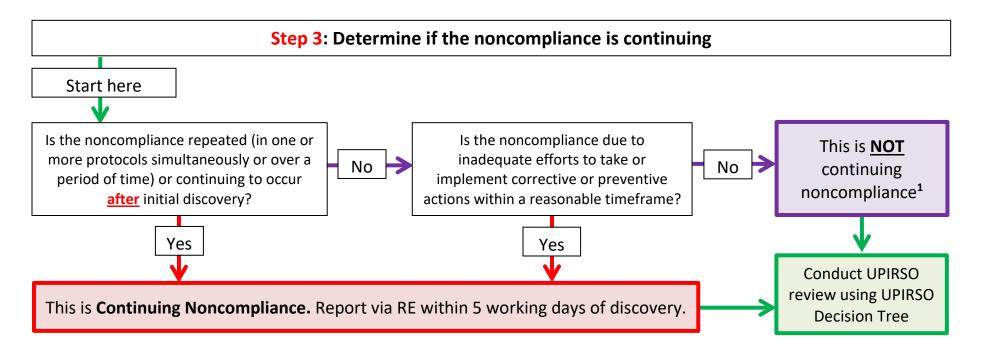
Version 1.0 Effective: November 18, 2019



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<sup>&</sup>lt;sup>1</sup> Noncompliance that is <u>neither</u> serious **nor** continuing should be tracked and summarized in the progress report at the next continuing review or notice of study closure, whichever comes first.

## Noncompliance Decision Tree: What and When to Report to the UTSW HRPP/IRB



Version 1.0 Effective: November 18, 2019

<sup>&</sup>lt;sup>1</sup> Noncompliance that is <u>neither</u> serious **nor** continuing should be tracked and summarized in the progress report at the next continuing review or notice of study closure, whichever comes first.